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Implementation Rules for Compulsory Product Certification - Evacuation Products

强制性产品认证实施规则

避难逃生产品

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0 Introduction

This Rules is established based on the safety risk and certification risk of evacuation products in fire protection products. It stipulates the basic principles and requirements for the implementation of compulsory product certification for evacuation products used in public places and residences. Its purpose is to ensure that the certified products continue to meet the requirements of laws, regulations and standards.

This Rules can be used with other general implementation rules issued by Certification and Accreditation Administration (CNCA), such as “China Compulsory Certification Implementation Rules - Manufacturing Enterprise Classification Management, Certification Mode Selection and Determination”, “China Compulsory Certification Implementation Rules - Utilization of Manufacturing Enterprise Testing Resource and Other Certification Results”, “China Compulsory Certification Implementation Rules - Factory Inspection General Requirements”.

The certification body shall make implementation detailed-rules for certification according to the general implementation rules and this Rules and implement them accordingly.

Manufacturing enterprise shall ensure that their certified products are capable of sustaining the compliance with the certification and are compatible with the requirements of the standards.

1 Application scope

This Rules applies to evacuation products used in public places and residences, mainly including the following types: escape products, self-rescue respirators, fire emergency lighting and evacuate indicating products, and fire safety signs.

2 Certification basis-standards

The basis-standards are shown in Attachment "Compulsory Certification Unit Division and Basis-Standards - Evacuation Products".

The latest versions of standards for certification testing issued by the National Standardization Administration shall be executed. When adding or reducing the basis-standards or using other versions of the standards, it shall be implemented in accordance with the corresponding announcement issued by the CNCA.

3 Certification modes

CLIENT shall submit a certification entrusting based on the unit division requirements.

The same products produced by the same manufacturer and different manufacturing enterprises, or the same products produced by different manufacturers and the same manufacturing enterprise may be classified as the same certification unit.

5 Certification entrusting

5.1 Application and acceptance of certification entrusting

CLIENT must put forward the certification entrusting to the certification body, in an appropriate way. Certification body shall process the certification entrusting; and feedback the acceptance or rejection according to the time limit in the certification implementation detailed-rules. CLIENT shall be able to assume the relevant product quality responsibilities. The relevant manufacturing enterprises shall be able to produce normally; and comply with the requirements of national laws and regulations and relevant industrial policies.

5.2 Certification entrusting materials

The certification body shall, according to the requirements of laws, regulations, standards and certification, clarify the list of certification entrusting materials in the certification implementation detailed-rules. It shall at least include the certification entrusting application and contract, the registration certificate of CLIENT, manufacturer and manufacturing enterprise, product conformity control documents, product production process instructions, etc.

CLIENT shall provide the required materials according to the requirements of certification implementation detailed-rules. Certification body is responsible for auditing, managing, preserving and keeping confidential of the above-mentioned materials; and shall inform the CLIENT of the auditing results.

In order to simplify the procedure, CLIENT may submit the type test report issued by the laboratory designated by the CNCA at the same time.

5.3 Implementation arrangement

Certification body shall agree with the CLIENT on the relevant responsibilities and arrangement of various aspects of certification implementation. And according to the situation of manufacturing enterprise and classification management AND the requirements of this Rules and certification implementation detailed-rules, DETERMINE the specific program of certification implementation and INFORM CLIENT.

6 Certification implementation

The certification body shall establish an information management system suitable for the product certification activities carried out.

6.1 Type test

The certification body shall clarify the basic requirements of type test in the certification implementation detailed-rules. It shall include the applicable inspection items, sample sampling/sending requirements, quantity, inspection time limit, key components and raw materials used in the product. It shall clarify conditions and specific requirements for acceptable conformity assessment results.

CLIENT shall select on its own the laboratory designated by CNCA (hereinafter referred to as the laboratory) for type test.

The laboratory shall sign a type test contract with the CLIENT. The contract shall include the type test plan based on the principle of unit division, sample requirements and quantity, test standard items, laboratory information, charging standards, and charging methods, etc.

6.1.1 Authenticity requirements of type test samples

CLIENT shall guarantee that all the samples provided are consistent with actual products. The laboratory shall review the authenticity of the samples provided by CLIENT. When the authenticity of the sample is in doubt, the laboratory shall deal with it in accordance with relevant regulations; and explain the situation to the certification body in time.

6.1.2 Implementation of type test

Type test shall be conducted in the laboratory. Laboratory shall conduct the type test to the samples, integrally record the whole test and archive for retaining, so that the test process and results are traceable. The laboratory shall stipulate and publicize the test time limit for each compulsory certification product designated to be tested.

If the manufacturing enterprise has the test conditions required by "China Compulsory Certification Implementation Rules - Utilization of Manufacturing Enterprise Testing Resource and Other Certification Results" and by the certification standards, in the implementation detailed-rules, the certification body shall specify the management procedures and specific requirements for the use of testing resources of the manufacturing enterprise.

6.1.3 Type test report

allowed. After the certification body adopts appropriate methods to confirm that the rectification is effective, the result is concluded “pass”.

When there are serious disqualified items in the factory inspection, the result is concluded “fail”.

6.4 Adoption of relevant results

The certification body shall make arrangements for adopting other conformity assessment results. The relevant requirements shall be stipulated in the certification implementation detailed-rules.

6.5 Certification evaluation and decision

The certification body conducts a comprehensive evaluation of the type test, factory inspection results and related materials/information. If the evaluation is passed, the certificate will be issued according to the unit. If the evaluation is not passed, the certification will be terminated.

6.6 Time limit of the certification

The certification body shall clearly stipulate the time limit of each link in the certification implementation detailed-rules; ensure that the relevant work is completed within the time limit. CLIENT must actively cooperate with the certification activities. Under normal circumstances, within 90 days from the acceptance of the certification entrusting and the signing of certification contract, a certification certificate shall be issued to CLIENT.

7 After-certification supervision

After-certification supervision refers to the supervision implemented by the certification body to the certified product and manufacturing enterprise. In combination with the classified management and actual conditions of the certified manufacturing enterprise, the certification body shall clarify the specific requirements for the selection of supervision method in the implementation detailed-rules. CLIENT, manufacturer, and manufacturing enterprise shall cooperate.

7.1 After-certification follow-up inspection

7.1.1 Principles for after-certification follow-up inspection

Based on manufacturing enterprise classification management, certification body shall implement the effective follow-up inspection to the certified product and manufacturing enterprise; to validate the quality assurance and product conformity control capabilities of the manufacturing enterprise; to ensure that the

certified products are in accordance with standards' requirements; and maintain the conformity with type test samples.

When the after-certification follow-up inspection shall be conducted during the normal production of manufacturing enterprise, priority is given to the method of not notifying the inspected party in advance. For those products that are not continuously manufactured, CLIENT shall actively provide the relevant production plan to certification body, so that the after-certification follow-up inspection can be conducted in an effective way.

The after-certification follow-up inspection shall be carried out by factory inspectors. It can be carried out by means of on-site inspection of manufacturing enterprise, remote factory inspection, and "cloud platform" follow-up inspection, etc.

7.1.2 Content of after-certification follow-up inspection

In accordance with the certification rules and the requirements of the standards, in the certification implementation detailed-rules, the certification body shall specify the follow-up inspection content on whether the product continues to comply with the compulsory product certification quality assurance capability and product conformity requirements.

7.1.3 Time of after-certification follow-up inspection

The certification body shall, on the basis of the classified management of manufacturing enterprise, reasonably determine the follow-up inspection time. It shall be specified in the implementation detailed-rules by the certification body.

7.2 Production or port on-site sampling test or inspection

7.2.1 Principles for production or port on-site sampling test or inspection

Production or port on-site sampling test or inspection shall cover certified products.

7.2.2 Content of production or port on-site sampling test or inspection

Production or port on-site sampling test: The test of the applicable items of certification basis-standards implemented by the laboratory on samples, after sampling at the production or port site, in accordance with the requirements of the certification rules, standards and certification implementation detailed-rules.

Production or port on-site sampling inspection: The sampling and inspection of certified products at the production or port site by the certification body, in accordance with the requirements of the certification rules, standards and certification implementation detailed-rules.

7.5 Record of after-certification supervision

The certification body shall record and retain the after-certification supervision work carried out by it in an appropriate form, to ensure the traceability of the certification process and results.

7.6 Acceptance of the results of quality supervision spot checks and fire protection inspections

The certification body shall, in accordance with the law, adopt the results of national and local product quality supervision spot checks and fire protection inspections, which are carried out by the government management departments at all levels on the certified products; and use them as the key basis for the after-certification supervision conclusion. The relevant requirements are stipulated by the certification body in the certification implementation detailed-rules.

7.7 Evaluation on after-certification supervision results

The certification body conducts a comprehensive evaluation of the relevant data, information and conclusions of after-certification follow-up inspection, production or port on-site sampling test or inspection, on-site sampling test or inspection, quality supervision spot check and fire protection inspection. If the evaluation is PASS, it may continue to maintain the certificate and use of certification mark. If the evaluation is Not-PASS, certification body shall suspend or revoke the certificate according to the corresponding situations; and announce it publicly.

8 Certificate

8.1 Maintenance of certificate

The validity period of the certificate covered by this Rules is 5 years. Within the valid period, the validity of the certificate is maintained by depending on the “after-certification supervision” of the certification body.

Before the certificate is expired, if it needs to continue to use, CLIENT shall put forward the certification entrusting within 90 days before the expiry date. If the newest “after-certification supervision”, within the certificate validity, is PASS, then certification body shall directly renew the certificate after receiving the certification entrusting.

8.2 Content of certificate

Content of the certificate shall be consistent with relevant requirements of “China Compulsory Certification Management Method”.

If the content of the certificate is marked on the certified product and its sales package, it shall be consistent with the content of the certificate. The change of certificate information shall indicate the number of changes.

8.3 Change of certificate

After obtaining the certificate, when:

- (1) The content on the certificate changes; or
- (2) The certified product has technical changes (design, structural parameters, key components/raw materials, etc.) that affect the compliance of the relevant standards; or
- (3) The factory changes the production conditions, etc., which may affect the production conformity; or
- (4) Other matters specified by the certification body in the certification implementation detailed-rules are changed; or
- (5) CLIENT requires to extend the scope of product that is covered by the certificate obtained; [Translator note: The original text is reformatted to items (1) – (5), for ease of reading]

CLIENT shall submit a request for change to the certification body.

Under the premise of controlling risks, the certification body shall actively adopt methods such as "certification before inspection" to confirm changes. When necessary, the certification body may carry out inspections or tests on the change content. Only approved by the certification body can it be changed. The specific requirements are stipulated by the certification body in the certification implementation detailed-rules.

8.4 Certificate's cancellation, suspension and withdrawal

The suspension, cancellation and withdrawal of certificates shall be implemented in accordance with "China Compulsory Certification Management Method", "Compulsory product certificate cancellation, suspension and withdrawal implementation rules", and relevant regulations of certification body. Certification body shall determine the classification and scope of the product that does not comply with the certification requirements; and adopt appropriate way to announce information of the certificate which is cancelled, suspended, or withdrawn.

8.5 Use of certificate

Use of the certificate shall comply with the requirements of "China Compulsory

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